

## B. Com. Semester V

### Corporate Laws – Syllabus

Unit	Title	Details of Topic
Unit I	<b>Securities Contracts (Regulation) Act, 1956</b>	History and Establishment of the Act, Meaning of Securities, Definitions, Main Provisions of the Act, Listing of Securities
Unit II	<b>SEBI Act, 1992</b>	Objectives, Power and Functions of SEBI, Securities Appellate Tribunal, Appeals, Appearance before SAT
Unit III	<b>Depositories Act, 1996</b>	Definitions, Setting up of Depository, its type, Role and Functions, Depository Participants, Admission of Securities, Difference between Dematerialization & Re-materialization, Depository Process, Inspection and Penalties, Internal Audit and Concurrent Audit of Depository Participants
Unit IV	<b>Issue and Listing of Securities,</b>	Listing of Securities, Issue of Capital and Disclosure Requirements (ICDR), Procedure for Issue of Various Types of Shares and Debentures, Employee Stock Option Scheme and Employee Stock Purchase Scheme, Delisting of Securities
Unit V	<b>Corporate Governance and Corporate Social Responsibility</b>	Statutory Provisions pertaining to Corporate Governance and Corporate Social Responsibility, Monitoring Mechanism and grievance redressal
Unit VI	<b>International Financial Service Centre</b>	IRDA(IFSC) Guidelines 2015, Foreign Exchange Management(IFSC) Guidelines 2015, SEBI(IFSC) Guidelines 2015, RBI Guidelines 2015 for setting up of IFSC Banking Units.

#### Books Recommended:

1. N. D. Kapoor : Business Laws: Sultan Chand & Sons, New Delhi
2. S.S. Gulshan: Business Law: Excel Publications, New Delhi
3. Ravindrakumar: Legal Aspects of Business: Cengage, New Delhi